

ENVIRONMENT AND CLIMATE CHANGE CANADA

Program: Wildlife Enforcement

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Implementation of the CBSA Single Window Initiative (SWI)

The purpose of the Wild Animal and Plant Protection and Regulation of International and Interprovincial Trade Act (WAPPRIITA) and the associated Wild Animal and Plant Trade Regulations (WAPTR) is to protect certain species of animals and plants, including those listed in the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES), and to regulate international and interprovincial trade in those species.

WAPPRIITA applies to:

- a) Foreign species whose capture, possession, and export are prohibited or regulated by laws in their country of origin;
- b) Wild animal and plant species on the CITES control list (Schedule I of the WAPTR);
- c) Alien species that can endanger Canadian species by way of introduction into Canadian ecosystems (Schedule II of the WAPTR)

WAPPRIITA and WAPTR provide the legislative and regulatory authority for CITES controls in Canada.

Environment and Climate Change Canada (ECCC) is responsible for establishing and enforcing the policies governing the importation of CITES goods into Canada.

The Canada Border Services Agency (CBSA) assists ECCC by enforcing these policies as they apply at the border.

CITES species regulated by Canada are listed in Schedule I of WAPTR. A searchable CITES species list can be found on ECCC's Web site.

In addition, certain species that may threaten Canadian ecosystems are prohibited from entering Canada without an import permit issued by ECCC. These species are listed in Schedule II of WAPTR.

Below are the current documentation requirements based on the Appendix in which the items fall:

Type of Good	Documentation Requirements
CITES Appendix I	Canadian CITES import permit issued by Canadian Management Authority AND, a CITES export permit issued by the exporting country OR a CITES re-export certificate issued by the exporting country.
CITES Appendix II	CITES export permit issued by the exporting country OR a CITES re-export certificate issued by the exporting country.
CITES Appendix III	CITES export permit issued by the exporting country if the specimen is from a listing nation OR CITES export permit OR a CITES certificate of origin OR a CITES re-export certificate if the specimen is from another nation.
WAPTR Schedule II species	Import Permit issued by Canadian Management Authority.

Note: Note: Original CITES and WAPPRIITA permits must also be physically presented to the border services officer for validation when reporting to the CBSA.

Under the Single Window Initiative, release requests will be submitted utilizing a new Integrated Import Declaration (IID) (Service option 911) that allows custom brokers to submit and obtain electronic release for goods also regulated by participating department and agencies.

Importers/brokers must indicate “yes” or “no” as to whether or not the goods being imported are subject to CITES as regulated in Canada under the Wild Animal and Plant Protection and Regulation of International and Interprovincial Trade Act (WAPPRITA) or are listed in Schedule II of Wild Animal and Plant Trade Regulations (WAPTR). This will enable the CBSA system to run the appropriate business rules for goods that are subject to ECCC’s requirements as outlined above and to ensure those goods that are not regulated by ECCC are not subject to these business rules.

The original Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES), and WAPPRITA permits must be physically presented to the border services officer for validation when reporting to the CBSA.

Note: Under SWI, information requirements will remain the same. There are no additional data requirements for the import process.

Harmonized System (HS) Codes

For a listing of the HS Codes subject to Wildlife Enforcement are found in the Data Element Matching Criteria Tables, visit:

<https://www.cbsa-asfc.gc.ca/prog/sw-gu/regcom-marreg/eccc-eccc-eng.html>

Data Element Rationales

Below are the specific Data Element requirements under the Wildlife Enforcement:

Importer Contact Name	Required for point of contact with the party responsible for importing the regulated goods into Canada.
Importer Telephone Number	Required for point of contact with the party responsible for importing the regulated goods into Canada.
Importer Fax Number	Required for point of contact with the party responsible for importing the regulated goods into Canada. Email address may be provided instead.
Importer Email Address	Required for point of contact with the party responsible for importing the regulated goods into Canada, if necessary. Fax number may be provided instead.
LPCO Type / Authorization Type	Identifies that the shipment is accompanied by the permit(s)/certificate(s) required under section 6(2) WAPPRITA.
LPCO Reference Number	Identifies the permit/certificate number. Can be verified to ensure authenticity of document.
File (Image URN)	A digital image of the required permits/certificates is requested in order to: <ul style="list-style-type: none">• Enable the CBSA to review certificate/permit information prior to arrival/release.• Demonstrate that the goods were intended to be imported in good faith with the required permits/certificates. This may contribute to resolving situations where the hard copies of the permits/certificates were lost during transport.• Serve as a placeholder for any future CITES/ECCC electronic permitting initiatives.
Date of Issue	Provides the date that the required permit/certificate was issued. Can be used to verify to the authenticity of the document.
Expiry Date	Provides the date that the required permit/certificate expires. Can be used to verify to the authenticity of the document.

Permittee	Identifies the party to whom the required permit/certificate was issued. Can be used to verify the authenticity of the document.
Permittee Contact Name	Required for point of contact with the permittee for the regulated good.
Permittee Telephone Number	Required for point of contact with the permittee for the regulated good.
Permittee Fax Number	Required for point of contact with the permittee for the regulated good. Email address may be provided instead.
Permittee Email Address	Required for point of contact with the permittee for the regulated good. Fax number may be provided instead.
Permittee Email Address Vendor Contact Name	Required for point of contact with the party selling the regulated goods to the purchaser and/or the party consigning the regulated goods to Canada.
Vendor Telephone Number	Required for point of contact with party selling the regulated goods to the purchaser and/or the party consigning the regulated goods to Canada.
Vendor Fax Number	Required for point of contact with the party selling the regulated goods to the purchaser and/or the party consigning the regulated goods to Canada. Email address may be provided instead.
Vendor Email Address	Required for point of contact with the party selling the regulated goods to the purchaser and/or the party consigning the regulated goods to Canada. Fax number may be provided instead.
Exporter Contact Name	Required for point of contact with the party shipping the regulated goods consignee/purchaser in Canada, if different from vendor.
Exporter Telephone Number	Required for point of contact with the party shipping the regulated goods consignee/purchaser in Canada, if different from vendor.
Exporter Fax Number	Required for point of contact with the party shipping the regulated goods consignee/purchaser in Canada, if different from vendor.
Exporter Email Address	Required for point of contact with the party shipping the regulated goods consignee/purchaser in Canada, if different from vendor.
Common name	Required to identify the regulated species being imported to Canada as indicated on the permit/certificate.
Scientific Name	Required to identify the regulated species being imported to Canada as indicated on the permit/certificate.
Age	Optional data element. Providing this information when indicated on permit may assist with identification of the good and verification against the permit.
Sex	Optional data element. Providing this information when indicated on permit may assist with identification of the good and verification against the permit.
Life Stage	Optional data element. Providing this information when indicated on permit may assist with identification of the good and verification against the permit.
Purpose	Required to identify the purpose of the import as indicated on the permit.
Identification Numbers or Marks	Optional data element. Providing this information when indicated on permit may assist with identification of the good and verification against the permit.
TSN/AphiaID	Optional data element. Providing this information when indicated on permit may assist with identification of the good and verification against the permit.

Source of the specimen	Required to identify the purpose of the import as indicated on the permit/certificate.
Country of Source	Required to identify the purpose of the import as indicated on the permit/certificate

This section applies to goods that are subject to the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES) as regulated in Canada under the Wild Animal and Plant Protection and Regulation of International and Interprovincial Trade Act (WAPPRIITA) or are listed in Schedule II of the Wild Animal and Plant Trade Regulations (WAPTR).

Electronic Commerce Client Requirements Document (ECCRD)

The following table provides the specific rules and conditions associated to each of the Data Elements. Depending on the Data Element Status, the data elements will be Mandatory (M), Conditional (C) or Optional (O).

Data element name	PGA element definition	Data element status	Data element rules and conditions
Contact Identification	Importer Contact Name	C	If the goods are regulated in Canada under CITES and/or WAPPRIITA, the name of the person knowledgeable regarding the specific shipment (transaction) details must be provided.
Contact Method	Importer Telephone Number	C	If the goods are regulated in Canada under CITES and/or WAPPRIITA, the telephone Number of the person knowledgeable regarding the specific shipment (transaction) details must be provided.
Contact Method	Importer Fax Number	C	If the goods are regulated in Canada under CITES and/or WAPPRIITA, the fax number or contact e-mail address of the person knowledgeable regarding the specific shipment (transaction) must be provided.
Contact Method	Importer Email Address	C	If the goods are regulated in Canada under CITES and/or WAPPRIITA, the fax number or contact e-mail address of the person knowledgeable regarding the specific shipment (transaction) must be provided.
Document Type (Licence, Permit, Certificate, Other)	LPCO Type/ Authorization Type	C	The coded identifier of applicable document types being provided at the declaration level must be provided in this field. Document types provided here must be applicable to all commodities on this declaration. If not applicable to all commodities, please provide the document types at the commodity line level (SG121). See Appendix A for document types and descriptions.
Document Reference Number	LPCO Reference Number	C	For every document type provided, at the declaration level, the associated reference number of that document must be provided.

Image URN	File	C	<p>A digital image of the LPCO must be provided for the following document types:</p> <ul style="list-style-type: none"> • CITES Appendix I • CITES Appendix II • CITES Appendix III • WAPTR Schedule II species <p>Note: Original CITES and WAPPRITA permits must also be physically presented to the border services officer for validation when reporting to the CBSA.</p>
Document issue date	Date of Issue	C	<p>For every document type provided at the declaration level, the issue date of the LPCO must be provided in CCYYMMDD format.</p> <p>The qualifier in field 2379 must be the code 102 for CCYYMMDD.</p>
Expiry date	Expiry Date	C	<p>The expiry date of the LPCO must be provided for the following document types:</p> <ul style="list-style-type: none"> • CITES Appendix I • CITES Appendix II • CITES Appendix III • WAPTR Schedule II species <p>The qualifier in field 2379 must be the code 102 for CCYYMMDD.</p>
LPCO Authorized Party (Declaration)	Permittee	C	<p>For every document type provided, at the declaration level AND If different than the importer of record, details of the person who obtained the product authorization(s) associated with this LPCO must be provided in this field.</p> <p>Name and address must be provided, including the following fields: Name, street, city, province, postal code, country identifier.</p> <p>See Appendix B for details regarding the mandatory fields.</p>
Contact Identification	Permittee Contact Name	C	<p>For every document type provided, at the declaration level AND If different than the importer of record, the name of the person knowledgeable regarding the specific shipment (transaction) details must be provided.</p>
Contact Method	Permittee Telephone Number	C	<p>For every document type provided, at the declaration level AND If different than the importer of record, the Telephone Number of the person knowledgeable regarding the specific shipment (transaction) details must be provided.</p>
Contact Method	Permittee Fax Number	C	<p>For every document type provided, at the declaration level AND If different than the importer of record, the Fax Number OR E-mail address of the person knowledgeable regarding the specific shipment (transaction) details must be provided.</p>

Contact Method	Permittee Email Address	C	For every document type provided, at the declaration level AND If different than the importer of record, the E-mail address OR Fax Number of the person knowledgeable regarding the specific shipment (transaction) details must be provided.
Contact Identification	Vendor Contact Name	C	If the goods are regulated in Canada under CITES and/or WAPPRIITA, the name of the person knowledgeable regarding the specific shipment (transaction) details must be provided.
Contact Method	Vendor Telephone Number	C	If the goods are regulated in Canada under CITES and/or WAPPRIITA, the Telephone Number of the person knowledgeable regarding the specific shipment (transaction) details must be provided.
Contact Method	Vendor Fax Number	C	If the goods are regulated in Canada under CITES and/or WAPPRIITA, the Fax number OR E-mail address of the person knowledgeable regarding the specific shipment (transaction) details must be provided.
Contact Method	Vendor Email Address	C	If the goods are regulated in Canada under CITES and/or WAPPRIITA, the Email address OR Fax number of the person knowledgeable regarding the specific shipment (transaction) details must be provided.
Contact Identification	Exporter Contact Name	C	If the goods are regulated in Canada under CITES and/or WAPPRIITA, the name of the person knowledgeable regarding the specific shipment (transaction) details must be provided, if different than the Vendor.
Contact Method	Exporter Telephone Number	C	If the goods are regulated in Canada under CITES and/or WAPPRIITA, the telephone number of the person knowledgeable regarding the specific shipment (transaction) details must be provided, if different than the Vendor.
Contact Method	Exporter Fax Number	C	If the goods are regulated in Canada under CITES and/or WAPPRIITA, the fax number OR E-mail address of the person knowledgeable regarding the specific shipment (transaction) details must be provided, if different than the Vendor.
Contact Method	Exporter Email Address	C	If the goods are regulated in Canada under CITES and/or WAPPRIITA, the Email address OR fax number of the person knowledgeable regarding the specific shipment (transaction) details must be provided, if different than the Vendor.
Commodity Characteristics (Model Name)	Common name	C	<p>The common name of the commodity being imported must be provided when the declaration statement indicates that goods are subject to CITES or are listed in Schedule II of WAPTR. Note: The Common Name is a Non-scientific name by which the species is described.</p> <p>For goods that are not regulated in Canada under CITES and/or WAPPRIITA, it is recommended that the Common Name be provided to facilitate identification of the product and expedite processing.</p>

Commodity Characteristics (Age)	Age	O	<p>The age of any animal or plant or product or product or derivative may be provided if specified on the following document types:</p> <ul style="list-style-type: none"> • CITES Appendix I • CITES Appendix II • CITES Appendix III • WAPTR Schedule II species
Commodity Characteristics (Gender)	Sex	O	<p>The sex of any animal or plant or product or product or derivative may be provided if specified on the following document types.</p> <ul style="list-style-type: none"> • CITES Appendix I • CITES Appendix II • CITES Appendix III • WAPTR Schedule II species
Commodity Characteristics (Gender)	Life Stage	O	<p>The life stage of any animal or plant may be provided if specified on the following document types.</p> <ul style="list-style-type: none"> • CITES Appendix I • CITES Appendix II • CITES Appendix III • WAPTR Schedule II species
Intended End-Use	Purpose	C	<p>The reason for import must be provided must be provided for the following document types:</p> <ul style="list-style-type: none"> • CITES Appendix I • CITES Appendix II • CITES Appendix III • WAPTR Schedule II species <p>The reason for import must be one of the following codes:</p> <ul style="list-style-type: none"> • Commercial • Botanical garden • Scientific • Personal • Educational • Breeding in captivity or artificial propagation • Zoo • Circus and travelling exhibition • Hunting trophy • Medical (including biomedical research) • Reintroduction or introduction into the wild • Law enforcement
Commodity Identity Codes (Authority Registered Number)	Identification Numbers or Marks	O	<p>Provide any of the following to identify individual specimens in the shipment:</p> <ul style="list-style-type: none"> • Tags (CX) • Tattoos (CY) • Microchips (CW) • Wing Band (CZ) • Leg Band (CV) • Other (ML) <p>More than one commodity identifier may be provided. Repeat segment as needed.</p>

Commodity Identifier	TSN/AphiaID	O	<p>Organisms can be identified through their Taxonomical Serial Number (TSN) or AphiaID.</p> <p>TSNs can be determined by consulting the Integrated Taxonomic Information System (www.itis.gov) and AphiaIDs for marine organisms and for molluscs can be determined by consulting www.marinespecies.org and www.molluscabase.org, respectively.</p> <p>Although not required, this information may allow a clearer identification of the product and may expedite processing.</p>
Canadian Product Category	Source of the specimen	C	<p>The coded source of the specimen must be provided for the following document types:</p> <ul style="list-style-type: none"> • CITES Appendix I • CITES Appendix II • CITES Appendix III • WAPTR Schedule II species <p>The source of the specimen must be one of the following codes:</p> <ul style="list-style-type: none"> • Specimens taken from the wild • Ranched specimens • Appendix I animals bred in captivity for commercial purposes in operations included in CITES Register of Appendix I plants artificially propagated for commercial purposes, as well as parts and derivatives thereof • Plants that are artificially propagated, as well as parts and products thereof • Animals bred in captivity, as well as parts and products thereof • Animals born in captivity that do not fulfill the CITES definition of “bred in captivity”, as well as parts and products thereof • Pre-Convention specimens • Confiscated or seized specimens • Source unknown
Country of Source	Country of Source	C	<p>If the goods are regulated in Canada under CITES and/or WAPPRIITA, the country in which the goods have been grown or harvested must be provided. The commodity level country of source must be included if the Country of Source is not provided or if different that the country of source in SG104.</p> <p>For goods that are not regulated in Canada under CITES and/or WAPPRIITA, it is recommended that the country of source be provided to allow a clearer identification of the product and expedite processing in case of referrals.</p> <p>Note: The Country of Source is the same as the country of origin indicated on the permit(s).</p>
Document Reference Number	LPCO Reference Number	C	<p>For every document type provided, at the commodity level, the associated reference number of that document must be provided.</p>

Document Reference Number	LPCO Reference Number	C	For every document type provided, at the commodity level, the associated reference number of that document must be provided.
Image URN	File	C	<p>A digital image of the LPCO must be provided for the following document types:</p> <ul style="list-style-type: none"> • CITES Appendix I • CITES Appendix II • CITES Appendix III • WAPTR Schedule II species <p>Note: Original CITES and WAPPRIITA permit(s) must also be physically presented to the border services officer for validation when reporting to the CBSA.</p>
Document issue date	Date of Issue	C	<p>For every document type provided at the commodity level, the issue date of the LPCO must be provided in CCYYMMDD format.</p> <p>The qualifier in field 2379 must be the code102 for CCYYMMDD.</p>
Expiry date	Date of Issue Expiry Date	C	<p>The expiry date of the LPCO must be provided for the following document types:</p> <ul style="list-style-type: none"> • CITES Appendix I • CITES Appendix II • CITES Appendix III • WAPTR Schedule II species <p>The qualifier in field 2379 must be the code 102 for CCYYMMDD.</p>
LPCO Authorized Party (Declaration)	Permittee	C	<p>For every document type provided, at the declaration level AND If different than the importer of record, details of the person who obtained the product authorization(s) associated with this LPCO must be provided in this field.</p> <p>Name and address must be provided, including the following fields: Name, street, city, province, postal code, country identifier.</p> <p>See Appendix B for details regarding the mandatory fields.</p>
Contact Identification	Permittee Contact Name	C	For every document type provided, at the commodity level AND If different than the importer of record, the name of the person knowledgeable regarding the specific shipment (transaction) details must be provided.
Contact Method	Permittee Telephone Number	C	For every document type provided, at the commodity level AND If different than the importer of record, the telephone Number of the person knowledgeable regarding the specific shipment (transaction) details must be provided.
Contact Method	Permittee Fax Number	C	For every document type provided, at the commodity level AND If different than the importer of record, the Fax Number OR E-mail address of the person knowledgeable regarding the specific shipment (transaction) details must be provided.

Contact Method	Permittee Email Address	C	For every document type provided, at the commodity level AND If different than the importer of record, the E-mail address OR Fax Number of the person knowledgeable regarding the specific shipment (transaction) details must be provided.
PGA Compliance statement (coded)	Identification of ECCC regulated goods	C	<p>Indicate whether or not these goods are subject to the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES) as regulated in Canada under the Wild Animal and Plant Protection and Regulation of International and Interprovincial Trade Act (WAPPRIITA) or are listed in Schedule II of the Wild Animal and Plant Trade Regulations (WAPTR).</p> <ul style="list-style-type: none"> • Yes • No

Additional resources

Regulated Commodities:

Reference Code Tables

Legislative References:

The Convention on International Trade in Endangered Species of Wild Fauna and Flora (**CITES**) is regulated under the Wild Animal and plant Protection and Regulation of International and Interprovincial Trade Act (**WAPPRIITA**).

Useful Links:

Memorandum D19-7-1 Interpretation of WAPPRIITA and CITES:

<http://www.cbsa-asfc.gc.ca/publications/dm-md/d19/d19-7-1-eng.html>

ECCC's CITES Species List:

<http://ec.gc.ca/cites/listedesespeces-specieslist/index.cfm?lang=e>

Schedule I of WAPTR:

<http://laws-lois.justice.gc.ca/eng/regulations/SOR-96-263/page-4.html#h-18>

Schedule II of WAPTR:

<http://laws-lois.justice.gc.ca/eng/regulations/SOR-96-263/page-5.html#h-19>

Appendix A: Document types and descriptions

Document Type(s) (SG9 or SG121)	Description
CITES Appendix I	Canadian CITES import permit issued by Canadian Management Authority AND CITES export permit issued by the exporting country OR CITES re-export certificate issued by the exporting country.
CITES Appendix II	CITES export permit issued by the exporting country OR CITES re-export certificate issued by the exporting country.
CITES Appendix III	CITES export permit issued by the exporting country if the specimen is from a listing nation. OR CITES export permit OR a CITES certificate of origin OR a CITES re-export certificate if the specimen is from another nation.
WAPTR Schedule II species	Import Permit issued by Canadian Management Authority.

Appendix B: LPCO Authorized Party, mandatory fields

Field	Location	Format	Description
Name	C080.3036.4	an..70	Business/personal name
Street	C059.3042.5	an..35	Up to 3 lines
City	3164.6	an..35	
Country Subdivision (e.g., state)	C819.3229.9	an..6	Use 2 character codes
Postal Id	3251.8	an..9	
Country Identifier	3207.9	an2	Provide the country code as per Appendix G24

Contact Livingston

Have questions or need help with your SWI imports?

Contact your Livingston client services representative.

Write to us at: clientserviceCanada@livingstonintl.com or give us a call at **1-855-225-5544**.