

FISHERIES AND OCEANS CANADA

Program: Trade Tracking

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Fisheries and Oceans Canada manages fisheries in accordance with the roles and responsibilities outlined in the Fisheries Act, using credible, science-based, affordable and effective practices. Key priorities for fisheries management in Canada include:

- Environmental sustainability
- Economic viability
- The inclusion of stakeholders in decision-making processes

Legislative references

The updates to the Coastal Fisheries Protection Regulations, following amendments to the Coastal Fisheries Protection Act approved through Bill S-3, will provide the regulatory authority for the government of Canada to specify the form of documentation required to accompany imports of certain fish and marine plants into Canada. This is needed as Canada does not currently have the authority to prohibit the import of fish, other than for food safety and health reasons. The revisions to the Coastal Fisheries Protection Regulations will identify the select species which are covered regionally or globally by some form of trade tracking documentation requirement, and will allow these species to be seized at the border if the correct documentation is not presented with the shipment. The updates to the Coastal Fisheries Protection Regulations are pending and expected to be completed in 2017, at which point Canada will have the legal authority to prohibit the import.

Regulated goods:

- Bluefin and Bigeye Tunas
- Swordfish
- Tooth fish
- Live fish
- Fresh, chilled or frozen fish

Excludes Fish fillets and other fish meat.

Documents required:

- Aquatic Animal Health Import permit
- SFC license
- Foreign Processing Establishment
- Re-export certificate

The EDI transaction notification from CBSA to the importer / broker of "Approved", only signifies that the documentation provided to the CBSA has been accepted. The product is allowed entry into Canada to the destination identified on the import, and is subject to inspection.

Commercial importations

Importers or the person in possession, or care and control of the goods are responsible for ensuring that their goods comply with the requirements of all government departments and agencies prior to importation into Canada.

The importer must have a valid SFC license. Fish and fish products imported without a valid import license will be refused entry into Canada.

Implementation of the CBSA Single Window Initiative (SWI)

Under the Single Window Initiative, release requests will be submitted utilizing a new Integrated Import Declaration (IID) that allows custom brokers to submit and obtain electronic release for goods also regulated by participating department and agencies.

Release requests for regulated Fisheries and Ocean under the Aquatic Invasive Species program may be provided to the CBSA electronically by submitting an IID and Document Image Functionality (DIF), License Certificate Permits and other Documents (LCPO). The IID must include the following information:

- a. Import License number
- b. License, Permits, Certificate and other (LPCO) information
- c. Permit number
- d. Zoosanitary certificate

Note: The information required under the SWI does not add any additional requirements, which currently exist to the import process.

Data Element Rationales

The following data element rationales provide additional information of the specific data element requirements under the Single Window Initiative

Document Type	Used in conjunction with other information to determine admissibility of goods to Canada and to support regulatory preparedness and scientific assessments.
LPCO Reference Number	Used in conjunction with other information to determine admissibility of goods to Canada and to support regulatory preparedness and scientific assessments.
Common Name	Used in conjunction with other information to determine admissibility of goods to Canada and to support regulatory preparedness and scientific assessments.
Genus/Species Name	Used in conjunction with other information to determine admissibility of goods to Canada and to support regulatory preparedness and scientific assessments.
Life Stage	Used in conjunction with other information to determine admissibility of goods to Canada and to support regulatory preparedness and scientific assessments.
Sex	Used in conjunction with other information to determine admissibility of goods to Canada and to support regulatory preparedness and scientific assessments.
Intended Use	Used in conjunction with other information to determine admissibility of goods to Canada and to support regulatory preparedness and scientific assessments.
TSN	Used in conjunction with other information to determine admissibility of goods to Canada and to support regulatory preparedness and scientific assessments.
Unit of Measure	Used in conjunction with other information to determine admissibility of goods to Canada and to support regulatory preparedness and scientific assessments.
Commodity Total Value	Used in conjunction with other information to determine admissibility of goods to Canada and to support regulatory preparedness and scientific assessments.
Name of Commission	Used in conjunction with other information to determine admissibility of goods to Canada and to support regulatory preparedness and scientific assessments.

Commodity Unit Count	Used in conjunction with other information to determine admissibility of goods to Canada and to support regulatory preparedness and scientific assessments.
Country of Source	Used in conjunction with other information to determine admissibility of goods to Canada and to support regulatory preparedness and scientific assessments.
US State of Source	Used in conjunction with other information to determine admissibility of goods to Canada and to support regulatory preparedness and scientific assessments.
Manufacturer	Used in conjunction with other information to determine admissibility of goods to Canada and to support regulatory preparedness and scientific assessments.
Harvesting Party	Used in conjunction with other information to determine admissibility of goods to Canada and to support regulatory preparedness and scientific assessments.
Document Type	Used in conjunction with other information to determine admissibility of goods to Canada and to support regulatory preparedness and scientific assessments.

Electronic Commerce Client Requirements Document (ECCRD)

The following table provides the specific rules and conditions associated to each of the data elements for DFO Declarations. The data elements are **Mandatory (M)**, **Conditional (C)** or **Optional (O)**.

Data element name	PGA element definition	Data element status	Data element rules and conditions
Document Type (License, Permit, Certificate, Other)	Document Type	C	<p>The coded identifier of applicable document types being provided at the declaration level must be provided in this field. Document types provided here may be applicable to all commodities on this declaration. If not applicable to all commodities, please provide the document types at the commodity line level (SG121).</p> <ul style="list-style-type: none"> • Atlantic Bluefin Tuna Catch Document (ICCAT) • Atlantic Bluefin Tuna Re-Export Document (ICCAT) • eBCD Bluefin Catch Document (ICCAT) • eBCD Bluefin Re-Export Document (ICCAT) • Bigeye Tuna Statistical Document (ICCAT) • Bigeye Tuna Re-export Certificate (ICCAT) • Patagonian Toothfish (Dissostichus) Catch Document (CCAMLR) • Swordfish Statistical Document (ICCAT) • Swordfish Re-export Certificate (ICCAT)
Document Type (License, Permit, Certificate, Other)	Document Type	O	<p>If the applicable document type is one of the following, and applicable to all commodities on this declaration, it may be provided in this field. If not applicable to all commodities, please provide the document types at the commodity line level (SG121).</p> <ul style="list-style-type: none"> • Bigeye Tuna Statistical Document (IATTC) • Pacific Bluefin Tuna Catch Document (WCPFC or IATTC) • Pacific Bluefin Tuna Re-export document (WCPFC or IATTC)

Document Reference Number	LPCO Reference Number	C	For each document type provided at the declaration level, an associated reference number related to that document must be provided; using the formats listed in Appendix A.
Commodity Characteristics (Model Name)	Common name	M	<p>The common name of the commodity being imported must be provided by the importer. The Coded Identifier must be provided in field 7009, if the common name is one of the following:</p> <ul style="list-style-type: none"> • Atlantic Western Bluefin tuna • Atlantic Eastern Bluefin tuna • Pacific Bluefin tuna • Pacific Bigeye tuna • Atlantic Bigeye tuna • Atlantic North Swordfish • Atlantic South Swordfish • Toothfish <p>Otherwise, provide the common name in the item description “free-text” field (7008).</p> <p>Note: The Common Name is a Non-scientific name by which the species are referred.</p>
Commodity Characteristics (Scientific Name)	Genus / species name	M	The Latin scientific name (genus + species) of the commodity being imported”, must be provided by the importer.
Commodity Characteristics (Life Stage)	Life Stage	M	<p>The life stage of the commodity being imported must be provided for each commodity line with one of the following codes:</p> <ul style="list-style-type: none"> • Propagate • Embryo • Juvenile • Adult • Dead <p>Note: Repeat this segment if there are multiple selections applicable for this commodity.</p>
Commodity Characteristics (Gender)	Sex	O	<p>The sex of the commodity being imported may be provided for each commodity line.</p> <ul style="list-style-type: none"> • Male • Female • Sterile • Other • Unknown • Hermaphrodite <p>Note: Repeat this segment if there are multiple selections applicable for this commodity.</p>

Intended End Use	Intended Use	O	<p>The coded description of how a product will be used within Canada may be provided by the importer. Use one of the following codes:</p> <ul style="list-style-type: none"> • Food • Aquaculture • Research and development (e.g. animal model) • Production of specialty chemical (e.g. drug, vitamin) • Environmental application (e.g. bioremediation, invasive species control) • Ornamental (e.g. aquarium trade, zoo) • Educational • Other <p>Note: Repeat this segment, if there are multiple selections applicable for this commodity.</p>
Commodity Identifier	TSN	O	Organisms can be identified through their Taxonomical Serial Number. This information will allow a clearer identification of the product and expedite processing in case of referrals.
Commodity Size	Unit of Measure	O	<p>The commodity's gross weight or volume may be provided using one of the following measured attribute codes:</p> <ul style="list-style-type: none"> • Goods Item Gross Weight (AAB) • Unit Volume (BRI)
Commodity Value	Commodity Total Value	M	The total value of the commodity line must be provided in CAD dollars.
Product Category	Name of Commission	M	<p>The appropriate commission for the commodity being imported must be provided by the importer. Use one of the following codes:</p> <ul style="list-style-type: none"> • CCAT- International Commission for the Conservation of Atlantic Tunas • ATTC- Inter-American Tropical Tuna Commission • WCPFC – Western and Central Pacific Fisheries Commission • CCAMLR – Commission for the conservation of Antarctic Marine Living Resources
Commodity Count	Commodity Unit Count	O	The number of regulated items in the commodity line of the shipment enumerated by using “each” (EA).
Commodity Count Country of Source	Country of Source	C	The country in which the goods have been grown, harvested, manufactured, processed, produced or slaughtered must be provided, if different than the Country of Source already provided in SG104.
State of Source	US State of Source	C	<p>The state in which the goods for this commodity have been grown, harvested, manufactured, processed, produced or slaughtered.</p> <p>This must be provided if the Country of Source qualifier is used on this commodity line and the country of source is the United States.</p>
Manufacturer	Manufacturer	O	Name and Address details of the supplier of the finished goods, or if the party was involved in the final production of these goods, the details on Appendix B may be provided if different than the Manufacturer details at SG102.

Harvesting Party	Harvesting Party	O	<p>Name and address of the party that grew or harvested the commodity, may be provided if different than the manufacturer at SG119.</p> <p>See Appendix B for details that may be provided.</p>
Document Type (License, Permit, Certificate, Other)	Document Type	C	<p>The coded identifier of any applicable document must be provided in this field if applicable to a specific commodity line entry. If applicable to all commodities, please provide the documents at the declaration level (SG9).</p> <ul style="list-style-type: none"> • Atlantic Bluefin Tuna Catch Document (ICCAT) • Atlantic Bluefin Tuna Re-Export Document (ICCAT) • eBCD Bluefin Catch Document (ICCAT) • eBCD Bluefin Re-Export Document (ICCAT) • Bigeye Tuna Statistical Document (ICCAT) • Bigeye Tuna Re-export Certificate (ICCAT) • Patagonian Toothfish (Dissostichus) Catch Document (CCAMLR) • Swordfish Statistical Document (ICCAT) • Swordfish Re-export Certificate (ICCAT)
Document Type (License, Permit, Certificate, Other)	Document Type	O	<p>If the applicable document type is one of the following, the coded identifier may be provided in this field if applicable to a specific commodity line entry. If applicable to all commodities, please provide the documents at the declaration level (SG9).</p> <ul style="list-style-type: none"> • Bigeye Tuna Statistical Document (IATTC) • Pacific Bluefin Tuna Catch Document (WCPFC or IATTC) • Pacific Bluefin Tuna Re-export document (WCPFC or IATTC)
Document Reference Number	LPCO/ Reference Number	C	<p>For each document type provided at the commodity level, an associated reference number related to that document must be provided, using the formats listed in Appendix A.</p>
Process Type	Eviscerated	O	<p>If the commodity has been eviscerated; this field may be populated with a value of:</p> <p>12 – Slaughter</p>
Processor	Processor	O	<p>Name and Address details of the party involved in the process of removing fish fillets, livers or roes, the details below may be provided if different than Manufacturer at SG119.</p> <p>See Appendix B for details that may be provided.</p>

Additional resources

Regulated Commodities:

Reference Code Tables

Legislation:

Fisheries Act

<http://laws-lois.justice.gc.ca/eng/acts/F-14/>

Canadian Environmental Protection Act, 1999

<http://laws-lois.justice.gc.ca/eng/acts/C-15.31/FullText.html>

Legislative references:

Coastal Fisheries Protection Regulations

http://laws-lois.justice.gc.ca/eng/regulations/C.R.C.,_c._413/index.html

Lists of Acts and Regulations:

Fish Inspection Regulations: <http://www.inspection.gc.ca/english/reg/rege.shtml>

Fish Inspection Act: <http://www.inspection.gc.ca/english/reg/rege.shtml>

Customs Memoranda:

CBSA Memorandum D19-8-5 Import Prohibitions and Requirements for Commercial Importers of Aquatic Species and for Travellers Under the Aquatic Invasive Species Regulations

<https://www.cbsa-asfc.gc.ca/publications/dm-md/d19/d19-8-5-eng.pdf>

CBSA Memorandum D19-7-1 Interpretation of the Wild Animal and Plant Protection and Regulation of International and Interprovincial Trade Act (WAPPRIITA) and the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES)

<https://www.cbsa-asfc.gc.ca/publications/dm-md/d19/d19-7-1-eng.html>

Appendix A: Document types and reference numbers

Document Type	Reference Number
Atlantic Bluefin Tuna Catch Document (ICCAT)	e.g. CC-YY-000000 (no prescribed number format; each country may provide different numbering)
Atlantic Bluefin Tuna Re-Export Document (ICCAT)	e.g. CC-YY-00000X (no prescribed number format; each country may provide different numbering)
eBCD Bluefin Catch Document (ICCAT)	e.g. CC-YY-00000X (no prescribed number format; each country may provide different numbering)
eBCD Bluefin Re-Export Document (ICCAT)	e.g. CC-YY-00000X (no prescribed number format; each country may provide different numbering)
Bigeye Tuna Statistical Document (ICCAT or IATTC)	e.g. CC-YY-000000 (no prescribed number format; each country may provide different numbering)
Bigeye Tuna Re-export Certificate (ICCAT)	e.g. CC-YY-000000 (no prescribed number format; each country may provide different numbering)
Pacific Bluefin Tuna Catch Document (WCPFC) or (IATTC)	e.g. CC-YY-000000 (no prescribed number format; each country may provide different numbering)
Pacific Bluefin Tuna Re-Export document (WCPFC or IATTC)	e.g. CC-YY-000000 (no prescribed number format; each country may provide different numbering)
Patagonian Toothfish (Dissostichus) Catch Document (CCAMLR)	e.g. CC-YY-000000 (no prescribed number format; each country may provide different numbering)
Swordfish Statistical Document (ICCAT)	e.g. CC-YY-000000 (no prescribed number format; each country may provide different numbering)
Swordfish Re-Export Certificate (ICCAT)	e.g. CC-YY-000000 (no prescribed number format; each country may provide different numbering)

Appendix B: Details to be provided if different than the manufacturer details

Field	Location	Format	Description
Name	C080.3036.4	an..70	Business/personal name
Street	C059.3042.5	an..35	Up to 3 lines
City	3164.6	an..35	
Country Subdivision (e.g., state)	C819.3229.9	an..6	State or foreign country political subdivision (e.g., “county” for UK)
Postal Id	3251.8	an..9	Postcodes / ZIP (for Canadian or US harvesters)
Country	3207.9	an2	2 character ISO 3166 code

Contact Livingston

Have questions or need help with your SWI imports?

Contact your Livingston client services representative.

Write to us at: clientserviceCanada@livingstonintl.com or give us a call at **1-855-225-5544**.