

NATURAL RESOURCES CANADA

Program: Kimberley Process (Rough Diamonds)

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The Canada Border Services Agency (CBSA) assists Natural Resources Canada (NRCan) with the administration of the **Export and Import of Rough Diamonds Act**, its associated regulations and the border enforcement aspects of the **Kimberley Process Certification Scheme**.

The purpose of the Certification Scheme is to prevent the international movement of conflict or “blood” diamonds that are being used to fund rebel activities in various African countries. The Scheme requires imports and exports of rough diamonds to be accompanied by valid Kimberley Process certificates (KPCs) and transported in tamper-resistant containers.

Implementation of the CBSA Single Window Initiative (SWI)

Under the Single Window Initiative, release requests will be submitted utilizing a new Integrated Import Declaration (IID) that allows custom brokers to submit and obtain electronic release for goods also regulated by participating department and agencies.

Release requests for rough diamonds may be provided to the CBSA electronically by submitting an IID. In the case of rough diamond importations, the submission of the KPC number within the IID remains mandatory.

In addition, an original KPC must accompany the shipments of rough diamonds and be presented to the border services officers, who will verify the KPC at the point of entry for authenticity.

Note: The information required under the SWI does not add any additional requirements, which currently exist to the import process.

Harmonized System (HS) Codes

List of HS codes applicable to goods that may be regulated by Natural Resources (Kimberley Process - Rough Diamonds)
Program: <https://www.cbsa-asfc.gc.ca/prog/sw-gu/regcom-marreg/nrc-rnc-eng.html>.

Data Element Rationales

The following data element rationales provide additional information of the specific data element requirements required under the Single Window Initiative.

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Certificate Type	The presence of a KP certificate accompanying a rough diamond shipment is required to meet Rough Diamond program requirements. Having confirmation on the presence or absence of this certificate facilitates the decision-making on clearing the shipment.
Certificate Number	The certificate number appears on the certificate that must accompany the rough diamond shipment in order to clear Customs. The IID process can NOT replace the original KP paper certificate.
Issue Date	The presence of a KP certificate accompanying a rough diamond shipment is required to meet Rough Diamond program requirements. Knowing the issue date of the KP certificate is crucial to confirm that the certificate is valid or not, which facilitates the decision-making on clearing the shipment.

Expiry Date	The presence of a KP certificate accompanying a rough diamond shipment is required to meet Rough Diamond program requirements. Knowing the expiry date of the KP certificate is crucial to confirm that the certificate is valid or not, which facilitates the decision-making on clearing the shipment.
Scanned KPC	The presence of a KP certificate accompanying a rough diamond shipment is required to meet Rough Diamond program requirements. Having confirmation that a KP certificate accompanies the rough diamond shipment is crucial to facilitate the decision-making on clearing the shipment. However, the scanned KPC does not replace the requirement to inspect the original KPC to identify potential counterfeiting.
Issuing Authority	Canada can only trade in rough diamonds with countries that are members of the Kimberley Process. The issuing authority, representing the country exporting the shipment, is therefore required to facilitate the decision-making on clearing the shipment.
Country of Origin (Mining)	Canada can only trade in rough diamonds with countries that are members of the Kimberley Process. The country of origin (mining), which is a data element on the KP certificate, is therefore required to provide guidance on clearing the shipment.
Country of Origin (Mixed)	Canada can only trade in rough diamonds mined within countries that are members of the Kimberley Process. The country of origin (mining), which is a data element on the KP certificate, is therefore required to provide guidance on clearing the shipment. The term "Mixed" is used when rough diamonds in a shipment are from various mining origin. The mention of this term requires increased vigilance/research be carried out.
Number of Packages/Parcels	The number of parcels like the value and carat weight of a rough diamond shipment is part of the minimal information required to meet Rough Diamond program requirements. The combination of data provides crucial information to identify the shipment and to understand its nature to facilitate the decision-making on clearing the shipment.
Carat Weight	The carat weight of a rough diamond shipment is part of the minimal information required to meet Rough Diamond program requirements. The combination of weight and value provides crucial information to understand the nature of the shipment to facilitate the decision-making on clearing the shipment.
Value in US\$	The value of a rough diamond shipment is part of the minimal information required to meet Rough Diamond program requirements. This data element provides crucial information to identify the shipment and to understand its nature to facilitate the decision-making on clearing the shipment.
Country of Origin	Canada can only trade in rough diamonds mined within countries that are members of the Kimberley Process. The country of export is therefore required to provide guidance on clearing the shipment. This data element may duplicate the data element of "Issuing authority".

Electronic Commerce Client Requirements Document (ECCRD)

The following table provides the specific rules and conditions associated to each of the data elements for NRCan Declarations. The data elements are: Mandatory (M), Conditional (C) or Optional (O).

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Data element name	PGA element definition	Data element status	Data element rules and conditions
Document Type (Certificate)	Certificate Type	C	<p>The coded identifier for a Kimberley Process Certificate must be provided here if the KPC is being provided at the declaration level. No more than one KPC can be provided at the Declaration Level.</p> <p>Certificates provided here must be applicable to all commodities on this declaration. If not applicable to all commodities, please provide the certificates at the commodity line level. Note: no commodity can have a KPC at both the Declaration and Commodity Line Level.</p>
Document Reference Number	Certificate Number	C	The certificate number of the KP certificate being used for this declaration must be provided in this field.
Document Issue Date	Issue Date	C	The issue date of the KP certificate being used for this declaration must be provided in this field using a CCYYMMDD format.
Document Expiry Date	Expiry Date	C	The expiry date of the KP certificate being used for this declaration must be provided in this field using a CCYYMMDD format.
Document Location	Scanned KPC	C	This field must contain a fully-qualified URN using which an image of the KP certificate being used for this declaration can be accessed by qualified CBSA and NRCan employees.
Document Issuing Location	Issuing Authority	C	A country code, as per section G24, must be provided in this field for the country of issuance of the KP certificate being used for this declaration.
Document Country of Origin	Country of Origin (Mining)	C	A country code, as per section G24, must be provided in this field for the country in which this commodity was mined, according to the KP certificate being used for this declaration. If, on the KP certificate, the country of origin is not specified or is specified as Mixed, this field is omitted. In this situation, field 1131 will be populated with the code MIX - Mixed country of origin.
Document Country of Origin (Mixed)	Country of Origin (Mixed)	C	If one or more countries of origin on the KP certificate are unknown or specified as Mixed, this field must be provided with the code MIX - Mixed country of origin.
GAGI Packaging Quantity	Number of Packages/ Parcels	C	<p>If there are multiple commodities (HS codes) on the KPC associated with the commodities contained by this GAGI-line, the number of packages specified on the KPC must be provided in this field.</p> <p>If there is only a single HS code on the KPC associated with the commodities contained by this GAGI-line, the normal import requirements apply as per Appendix A (in ECCRD document).</p>

Commodity Size (Weight)	Carat Weight	M	The carat weight of this commodity must be provided. The measurement unit code of (CTM) must also be provided in the element (MOA.C174.6411) to indicate that carats are being provided.
Commodity Value	Value in US\$	M	The total commercial value of this commodity must be provided in US dollars. The currency code for US dollars (USD) must also be provided in (MOA.C516.6345).
Commodity Country of Origin	Country of Origin	C	A country code as per section G24 must be provided for the country of origin if different than the one listed in the associated SG104.LOC segment.
Document Type (Certificate)	Certificate Type	C	The coded identifier for a Kimberley Process Certificate must be provided here if the KPC is being provided at the commodity line level. No more than one KPC can be provided at the Commodity Line Level. Further, no commodity can have a KPC at both the Declaration and Commodity Line Level.
Document Reference Number	Certificate Number	C	The certificate number of the KP certificate being used for this commodity line must be provided in this field.
Document Issue Date	Issue Date	C	The issue date of the KP certificate being used for this commodity line must be provided in this field using a CCYYMMDD format.
Document Expiry Date	Expiry Date	C	The expiry date of the KP certificate being used for this commodity line must be provided in this field using a CCYYMMDD format.
Document Location	Scanned KPC	C	This field must contain a fully-qualified URN using which an image of the KP certificate being used for this commodity line can be accessed by qualified CBSA and NRCan employees.
Document Issuing Location	Issuing Authority	C	A country code, as per section G24, must be provided in this field for the country of issuance of the KP certificate being used for this commodity line.
Document Country of Origin	Country of Origin (Mining)	C	A country code, as per section G24, must be provided in this field for the country in which this commodity was mined, according to the KP certificate being used for this declaration. If, on the KP certificate, the country of origin is not specified or is specified as Mixed, this field is omitted. In this situation, field 1131 will be populated with the code MIX - Mixed country of origin.
Document Country of Origin (Mixed)	Country of Origin (Mixed)	C	If one or more countries of origin on this LPCO are unknown (e.g., a KP certificate specifies Country of Origin as "mixed" or does not specify in case of United States), this field must be provided with the code MIX - Mixed country of origin.
Packaging Quantity	Number of Packages/ Parcels	C	The count of packages/parcels for the commodity line is required if there is only one commodity (HS Code) on the KPC and number of packages/parcels specified on KPC is not provided in the associated SG115.PAC segment.

Additional resources

Regulated Commodities

Reference Code Tables

Legislative References:

[Export and Import of Rough Diamonds Act](#)

[Export and Import of Rough Diamonds Regulations](#)

Customs Memoranda:

Kimberley Process – Export and Import of Rough Diamonds Memorandum D19-2-1

<https://www.cbsa-asfc.gc.ca/publications/dm-md/d19/d19-6-4-eng.html>

Contact Livingston

Have questions or need help with your SWI imports?

Contact your Livingston client services representative.

Write to us at: clientserviceCanada@livingstonintl.com or give us a call at **1-855-225-5544**.